



NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE
OFFICE OF THE INSPECTOR GENERAL
9800 Savage Road, Suite 6247
Fort George G. Meade, MD 20755-6247



10 November 2016

To: Chief, D14 [Redacted]

From: Investigator [Redacted]

Subject: Computer Misuse

File No: IV-15-0034

Precedence: Routine

Purpose: To provide a summary report of investigation and to refer this matter to the Chief, Maryland Procurement Office (B312); and the Associate Directorate for Security and Counterintelligence (ADS&CI) - Contractor Clearances (A5232) and Special Actions (A5242), for review and any action deemed appropriate.

Details:

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I. (U) Background:

(U//~~FOUO~~) On 23 January 2015, the NSA/CSS Office of the Inspector General (OIG) received a referral from ADS&CI indicating possible misuse of the unclassified NSA/CSS Information System (IS) by contractor affiliate, [Redacted] is employed by [Redacted] as a Software Specialist in [Redacted] and worked on the [Redacted] contract [Redacted] Delivery Order [Redacted]. He is currently working on the [Redacted] contract [Redacted] Delivery Order [Redacted]. On 13 April 2015, the OIG initiated an investigation into [Redacted] suspected misuse of the unclassified NSA/CSS IS.

II. (U) Allegation:

(U//~~FOUO~~) Did [Redacted] an NSA/CSS contractor affiliate, misuse the unclassified NSA/CSS IS during the period 14 November 2013 through on or about 31 January 2016, by engaging in personal, non-contract-related activity and billing time spent engaged in such activity to the [Redacted] contract, in violation of NSA/CSS Policy 6-4?

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III. (U) Applicable Standard(s):

(U//~~FOUO~~) NSA/CSS Policy 6-4, *Contractor Use of NSA/CSS Information Systems and Resources*, dated 22 October 2013; including the revised version, dated 18 May 2015

IV. (U) Investigative Activity:

A. (U//~~FOUO~~) ADS&CI Referral:

(U//~~FOUO~~) On 23 January 2015, ADS&CI referred a case to the OIG alleging possible labor mischarging by contractor affiliate, [REDACTED] resulting from misuse of the unclassified NSA/CSS Information System (IS). The referral stated that [REDACTED] was unsuccessful on three consecutive polygraph examinations, to wit: 14 November 2013, 13 March 2014 and 21 April 2014. During an interview with ADS&CI on 15 October 2014, [REDACTED] stated that he had been distracted at work since the first unsuccessful polygraph in November 2013 and, as a result, was spending three hours per day reading non-work-related news and sports articles while at work.

(U//~~FOUO~~) [REDACTED] was administered a fourth polygraph examination on 18 November 2014. During that polygraph, [REDACTED] informed the examiner that since his interview with ADS&CI on 15 October 2014 he had reduced his time spent reading non-work-related material to one to two hours per day.

(U//~~FOUO~~) A fifth polygraph examination was administered to [REDACTED] on 14 January 2015. During the polygraph examination, [REDACTED] informed the examiner that he had further reduced his time spent conducting non-work-related activity to one hour per day. [REDACTED] stated that his non-work-related activity consisted of reading news or sports articles, checking his fantasy football league, and viewing instructional videos.

(U//~~FOUO~~) The ADS&CI referral (see Appendix) contained the following documents:

- Report of Investigation, dated 15 October 2014;
- Report of Polygraph Examination, dated 18 November 2014;
- Report of Polygraph Examination, dated 14 January 2015;

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B. (U) Interview(s):

(U//~~FOUO~~) On 20 April 2016, contractor affiliate [REDACTED] was interviewed and provided the following sworn testimony:

(U//~~FOUO~~) [REDACTED] is a Software Specialist who currently works in [REDACTED] and is employed by [REDACTED]. [REDACTED] job duties include system integration, writing software, and building wireless test platforms. [REDACTED] has been continuously affiliated with the Agency since 2001 as both a military and contractor affiliate. He has worked for [REDACTED] since August 2010 and has been assigned to [REDACTED] since March 2012.

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(U//FOUO) [REDACTED] has had five polygraph examinations since November 2013, each time failing the counterintelligence portion of the examination. During the first polygraph in November 2013, he informed the examiner that he had been distracted at work and as a result was spending a lot of time browsing the internet. In addition to being distracted at work [REDACTED] stated that he was also struggling with, and being treated for, personal health issues. He has a general understanding of Agency policies and guidance regarding use of the unclassified IS. He recalled that his company presented him with a form when he first started with [REDACTED] that he had to sign, informing him of the proper uses of NSA/CSS ISs. He did not recall whether he had since received any refresher training about the proper use of NSA/CSS ISs, or whether training of that type was included in his annual training.

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(U//FOUO) [REDACTED] is aware that agency ISs are monitored, however, he is unfamiliar with the specific agency policies related to contractor use of agency ISs. His understanding is that traffic entering or exiting agency ISs is monitored, as is the usage of the IS itself. [REDACTED] explained that he now has a better understanding of those policies and believes he has violated them since November 2013 by using the unclassified IS to: read the news, check his fantasy football team, shop on *Amazon.com*, check personal email, occasionally watch videos on *YouTube.com*, and chat using *Google Hangouts* with coworkers. [REDACTED] stated that he has never viewed pornography or "adult" content. He does not know whether his contract permits personal, non-contract-related use of the unclassified IS. However, he assumes it permits work-related use since the majority of his work-related activity is conducted using the unclassified IS.

(U//FOUO) [REDACTED] stated that he currently uses the unclassified IS for personal, non-work-related use "a little bit" every day, i.e. to check his personal email and to check the news and weather. He used the unclassified IS to shop as recently as last week. He estimates that he uses the unclassified IS to shop about twice per month.

(U//FOUO) [REDACTED] confirmed that he was "possibly" spending up to three hours a day conducting personal, non-work-related activity following his initial polygraph failure (15 November 2013) through 15 October 2014 (the date in which he was interviewed by ADS&CI). He suggested that this period was particularly difficult for him as a result of his repeated polygraph failures and a change in medication. Compounding the situation for him was the fact that he failed to renew his PRIVAC (Privileged Access) Access, resulting in its expiration sometime before his first polygraph on 15 November 2013. The loss of PRIVAC made it difficult for him to stay busy because the majority of his work required PRIVAC. The lack of PRIVAC access resulted in significant "down" time for him. [REDACTED] stated that he did not know whether the estimate he gave to Security regarding his usage was accurate due to the difficulty in quantifying the exact amount of time he spent on the unclassified IS. For example, he leaves his personal Gmail account open throughout the day and was not certain how to factor that into his estimate. He suggested that he overestimated the time because he felt underestimating would affect his ability to answer truthfully on future polygraphs. On a "bad day" the amount of time he spent on the unclassified IS could be up to three hours, but doing so was not a daily occurrence.

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(U//FOUO) [REDACTED] stated that his non-work-related use of the unclassified IS definitely decreased following the interview with Security on 15 October 2014. His use decreased further following his most recent polygraph on 14 January 2015 in part because he did well on that polygraph which helped reduce some of the anxiety, stress, and depression he had been experiencing. He indicated that though his ability to work was still affected by the loss of his PRIVAC, he tried to be more proactive by searching for tasks that did not require PRIVAC and identifying simple tasks on which he could work to keep busy. His PRIVAC access was reinstated in January 2016 following the successful adjudication of his polygraph.

(U//FOUO) [REDACTED] is sure that there was "busy work" to do, such as filling out documents, which could have been done in lieu of conducting non-work-related activity on the unclassified IS. Since the successful adjudication of his polygraph, [REDACTED] PRIVAC access was reinstated in January 2016 and he estimates that since then he spends no more than 10-15 minutes per day conducting non-work-related activity. He still leaves his personal Gmail account open during the day, and has recently purchased a few items on *Amazon.com*. He stated that he no longer spends hours on the unclassified IS on personal activity. [REDACTED] informed the polygraph examiner of the amount of time he spent on the unclassified IS because he realized his usage was excessive.

(U//FOUO) [REDACTED] did not document or account for the time he spent engaged in non-work-related use of the unclassified IS from November 2013 through the successful adjudication of the polygraph in January 2016. Though he did not document this time, he asserts that it decreased from a max of up to three hours per day, to his current usage of 10-15 minutes per day. [REDACTED] stated that he billed the time spent conducting non-work-related activity to the contract during the period 15 November 2013 through the successful adjudication of his polygraph and the reinstatement of his PRIVAC access in January 2016. In addition, he made no effort to make up for the time lost as a result of his unclassified use by working additional time.

(U//FOUO) [REDACTED] stated that he understands how his use of the unclassified IS to conduct non-work-related activity since November 2013 is a violation of NSA/CSS Policy 6-4. He acknowledged that his non-work-related activities were not unavoidable personal matters requiring his immediate attention.

V. (U) Analysis:

(U//FOUO) The ADS&CI referral and accompanying reports revealed that following [REDACTED] first aperiodic polygraph examination on 14 November 2013, [REDACTED] began spending up to three hours per day on the unclassified IS conducting personal, non-contract-related activity, such as: browsing news and sports websites, watching videos on *YouTube.com*, checking his fantasy football league, and shopping. [REDACTED] explained that he was doing so in part because his inability to pass the polygraph caused him concern over the possibility of losing his job and, thus, he had become distracted and unable to focus on his work. In addition, his ability to perform his duties was limited due to the loss of his PRIVAC access in November 2013. [REDACTED] was subsequently administered two additional polygraph examinations wherein he reported that his use of the unclassified IS had decreased over time from three hours per day, to one hour per day by 14 January 2015. [REDACTED] added during his interview with the

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OIG that since the polygraph examination in January 2015, his use further decreased to no more than ten or fifteen minutes per day. He explained that the decrease in time was a result of his belief that he had performed well on the polygraph, as well as his concerted efforts to be proactive at work by searching for tasks to complete that did not require PRIVAC. In January 2016, [REDACTED] polygraph was successfully adjudicated and his PRIVAC access was reinstated. Nevertheless, he stated that his usage of the unclassified IS for non-contract-related purposes remains at no more than ten or fifteen minutes per day.

(U//FOUO) [REDACTED] is employed by [REDACTED] and is an NSA/CSS contractor affiliate working as a Software Specialist in [REDACTED] [REDACTED] duties as a Software Specialist involve system integration, writing software, and building wireless test platforms. In this capacity, [REDACTED] requires access to NSA/CSS ISs to perform his duties and is considered an authorized user of the NSA/CSS unclassified IS pursuant to NSA/CSS Policy 6-6.¹ As such, NSA/CSS Policy 6-4, *Contractor Use of NSA/CSS Information Systems and Resources* (dated 22 October 2013, and the revised version dated 18 May 2015) applied to his use of the unclassified NSA/CSS IS during the period of suspected misuse from 15 November 2013 – 19 April 2016.

(U//FOUO) NSA/CSS Policy 6-4 specifically limits contractor use of NSA/CSS ISs to the performance of tasks authorized by contract, approved by the Contracting Officer, and permitted by the policy itself.² Further, NSA/CSS Policy 6-4 expressly prohibits contractors from engaging in personal use of the unclassified IS “except for brief, infrequent communications to deal with urgent matters. This limited exception to the personal use prohibition applies only when:

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- a. (U) Unavoidable personal matters arise such as, but not limited to, contacting a spouse, dependent family member, or other individuals responsible for a dependent’s care; scheduling a physician’s appointment; or vehicle maintenance;
- b. (U) The communication does not adversely affect the NSA/CSS mission or reflect poorly on NSA/CSS;
- c. (U) The communication does not result in other than minimal expense to the Government (e.g., the call is local, toll-free);
- d. (U) Time spent using the NSA/CSS IS or resource is not billed to the contract; and
- e. (U) The use is not associated with personal business activities outside the scope and purpose of the contract.”³

(U//FOUO) [REDACTED] admitted that he used the NSA/CSS unclassified IS to conduct personal, non-contract-related activities on a daily basis from 15 November 2013 through 19 April 2016, such as: browsing news and sports websites, watching videos on *YouTube.com*, checking his fantasy football league, and shopping. He further admitted that the time spent conducting these

¹ See NSA/CSS Policy 6-6, Purpose and Scope;

² See NSA/CSS Policy 6-4, Paragraph 1, dated 22 October 2013 (including the revision dated 18 May 2015);

³ See Ibid at Paragraph 9;

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activities ranged from ten minutes per day, to three hours per day. Contractor use of NSA/CSS ISs is subject to three conditions. The contractor's use must be limited to the performance of tasks authorized by the contract, approved by the Contracting Officer, and permitted by NSA/CSS Policy 6-4. [REDACTED] acknowledged that the activities he conducted were unrelated to the performance of tasks authorized by the contract. In fact, he was unable to perform contract-related tasks for the better part of the period of misuse due to the loss of his PRIVAC access. [REDACTED] further acknowledged that it was unlikely that his personal, non-contract-related use of the unclassified IS was approved by his Contracting Officer. Finally, NSA/CSS Policy 6-4 authorizes personal use of NSA/CSS ISs to the extent that the use is for brief, infrequent communications to deal with urgent matters, i.e. those matters defined by Paragraph 9a - 9e. The activities conducted by [REDACTED] on the unclassified IS are personal in nature and inconsistent with NSA/CSS Policy 6-4's limited exception to the personal use prohibition. Specifically, [REDACTED] activities cannot reasonably be characterized as unavoidable personal matters requiring his immediate attention; rather, they were activities conducted for his personal entertainment that should have been conducted on his personal time, outside of NSA/CSS spaces. Thus, by engaging in such non-contract-related, personal use of the NSA/CSS unclassified IS [REDACTED] misused the unclassified IS in violation of NSA/CSS Policy 6-4, Paragraph 9.

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(U//FOUO) [REDACTED] explained during his polygraph examinations, interviews with ADS&CI, and his interview with the OIG that he engaged in non-contract-related, personal use of the unclassified IS for a maximum of up to three hours per day following his initial polygraph on 14 November 2013, to at least ten to fifteen minutes per day prior to his interview with the OIG. Though [REDACTED] claims his usage progressively decreased over the course of this period, he acknowledged that he did not conduct this activity on his personal time; rather, he billed the time to the [REDACTED] and [REDACTED] contracts. While the total number of hours [REDACTED] billed to the contracts is likely significant, an exact figure is elusive because, as he explained, he overestimated the time he reported to ADS&CI in an effort to be as candid as possible and the time likely varied by day. A similar explanation accounts for the period of misuse following his final polygraph in January 2015 and continuing through the day before his interview with the OIG. In that regard, he estimated that he has spent ten to fifteen minutes per day conducting personal activity on the unclassified IS. Thus, a conclusion regarding a specific number of hours billed by [REDACTED] to each [REDACTED] contract would, at best, be an estimate. Nevertheless, NSA/CSS Policy 6-4 does not establish a minimum threshold under which billing the contract for non-contract related use of the unclassified IS is acceptable. Any billing of a contract for a contractor's unauthorized use of the unclassified IS, however slight, is a violation of NSA/CSS Policy 6-4. Therefore, though the total amount of time that [REDACTED] billed to the government is unknown, it is clear that he did in fact bill time spent engaged in personal use of the unclassified IS to the contract in violation of NSA/CSS Policy 6-4.

(U//FOUO) The OIG finds that a preponderance of the evidence exists to support the conclusion that, during the period 15 November 2013 - 19 April 2016, [REDACTED] misused the unclassified NSA/CSS IS to conduct personal, non-contract-related activities such as: browsing news and sports websites, watching online videos, checking his fantasy football league, and shopping. These activities are in violation of NSA/CSS Policy 6-4, Paragraph 9. In addition,

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[redacted] billed the time spent conducting these activities to the [redacted] contract, which is also in violation of NSA/CSS Policy 6-4, Paragraph 9.

VI. (U) Response to Tentative Conclusion:

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(U//~~FOUO~~) The tentative conclusion was provided to [redacted] on 20 October 2016. On 11 November 2016, [redacted] responded to the tentative conclusion by stating the following:

During the time in question, I was [redacted] I was coming to work every day just waiting to be told that my clearance was being revoked and I would no longer have a job. The [redacted] made it extremely difficult for me to focus on my work. When I realized [redacted] and how it was affecting my work performance, I [redacted] I am trying to get better and be a better employee. It has been a long process [redacted]

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(U//~~FOUO~~) [redacted] response provided no new information or mitigation that would impact our analysis, therefore, our preliminary conclusion became final.

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VII. (U) Conclusion(s):

(U//~~FOUO~~) **Substantiated.** (U//~~FOUO~~) The OIG finds that a preponderance of the evidence exists to support the conclusion that, during the period 15 November 2013 – 19 April 2016, [redacted] misused U.S. Government resources by using the NSA/CSS unclassified IS to conduct personal, non-contract-related activities such as: browsing news and sports websites, watching online videos, checking his fantasy football league, and shopping; and, billing the time spent engaged in such activity to the [redacted] and [redacted] contracts, in violation of NSA/CSS Policy 6-4, Paragraph 9.

* (U//~~FOUO~~) This report is property of NSA and may not be disseminated further without specific approval of the NSA OIG and the Office of the General Counsel (OGC) - Litigation. Furthermore, the information in this report cannot be used in affidavits, court proceedings, subpoenas, or for other legal or judicial purposes without prior OIG and OGC (Litigation) approval.

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(U) APPENDIX

(U) ADS&CI Referral

Available Upon Request

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NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE

MEMORANDUM

Q242, 968-5330s

DATE: 22 January 2015

REPLY TO

ATTN OF: Chief, Q242

SUBJECT: [Redacted]

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TO: Office of the Inspector General (b) (6)

1. (U//FOUO) [Redacted] born [Redacted] is a contractor with [Redacted] assigned to [Redacted]. He was indoctrinated on [Redacted].
2. (U//FOUO) During his 15 October 2014 Q21 subject interview, [Redacted] reported that since November 2013, he has been distracted at work as a result of his worry over possibly losing his job. Due to his inability to focus, he was spending three hours per day reading non-work related news and sports articles while at work. [Redacted] provided that [Redacted] also did not provide him with enough work to keep him busy. [Redacted] had never been counseled regarding his excessive use of the unclassified system as [Redacted] was unaware of the issue.
3. (U//FOUO) During his 18 November 2014 aperiodic polygraph, [Redacted] said that since October 2014, he had reduced his time spent reading non-work related materials down to one to two hours per day. He experienced a lot of down time as he often had to wait to work with other coworkers. During [Redacted] 14 January 2015 aperiodic polygraph, he said that he currently engages in non-work related activities for one hour per day. He usually reads news or sports articles, checks his fantasy football league, and views instructional videos for personal reasons. When specifically asked if he has any other options on how to spend his time at work, [Redacted] indicated he is sure there is busy work to be done.
4. (U//FOUO) This information is being forwarded to you for your review and possible action related to [Redacted] possible misrepresentation of his time and attendance.
5. (U//FOUO) The POC for this case is Special Agent [Redacted] 968-3754s.

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[Redacted]
Chief, Q242 (b) (3) - P.L. 86-36

Attached:

- Q21 ROI, dated 15 October 2014
- Polygraph dated, 18 November 2014
- Polygraph dated, 14 January 2015

Cc:

- Q242 R/F
- Q243 [Redacted]
- Q243 Dissemination

NATIONAL SECURITY AGENCY/CENTRAL SECURITY SERVICE
ASSOCIATE DIRECTORATE FOR SECURITY AND COUNTERINTELLIGENCE
INVESTIGATIONS

REPORT OF INVESTIGATION

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SUBJECT: [REDACTED]

FILE: [REDACTED]

SSN: [REDACTED]

DATE: 15 OCT 2014

DPOB: [REDACTED]

CHARACTER: Special Investigation

STATUS: Closed

AFFILIATION: Contractor
[REDACTED]

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AGENT: [REDACTED]

APPROVAL: [REDACTED]
CHIEF, Q212

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PREDICATION

(U//FOUO) This investigation was requested by Q242 per G1412A dated 07 August 2014 to determine the reason(s) why [REDACTED] was unable to successfully complete the counterintelligence phase of the polygraph examination thus far.

SYNOPSIS

(U//FOUO) This investigation developed information regarding [REDACTED] [REDACTED] [REDACTED] Details are set forth in this Report of Investigation.

ENCLS:
1. Security Interview Consent Form

DIST:
Q242

SUBJECT: [REDACTED]

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SUBJECT INTERVIEW:

SUBJECT POSITION : [REDACTED]
: SOFTWARE ENGINEER, [REDACTED]

ADDRESS : [REDACTED]

DATE INTERVIEWED : 11 SEPTEMBER 2014

TIME INTERVIEWED : 0900 HOURS

ASSOCIATION : SUBJECT OF ROI [REDACTED] (b) (3)-P.L. 86-36

(U//~~FOUO~~) [REDACTED] was interviewed in the [REDACTED] Building, [REDACTED] to discuss HIS inability to successfully complete HIS polygraph examination. Prior to the interview, the contents of the Security Interview Consent Form (Enclosure 1) were discussed with HIM. HE indicated HIS understanding of the contents and, after reading the form, signed it in the presence of the Reporting Agent. At the beginning of the interview, [REDACTED] raised HIS right hand and solemnly affirmed that the information HE was about to provide was true and correct to the best of HIS knowledge and belief. During the interview, the following information was developed:

PREVIOUS POLYGRAPH EXAMINATIONS:

(U//~~FOUO~~) [REDACTED] has been in access since [REDACTED] HE was administered a full-scope polygraph examination on 10 March 2005, and successfully completed both the suitability and counterintelligence (CI) portions on the first attempt. Most recently, HE has been unsuccessful on three consecutive polygraph examinations which have only encompassed the CI questions. In those tests, dated 14 November 2013, 13 March 2014, and 21 April 2014, HIS results have been inconclusive (INC).

[REDACTED] (b) (6)

(U//~~FOUO~~) As previously discussed during [REDACTED] polygraph examinations, between 2007 and 2013, on four separate occasions, [REDACTED]

[REDACTED]

(U//~~FOUO~~) [REDACTED]

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[REDACTED]

[REDACTED]

(U//~~FOUO~~) The second incident occurred in approximately 2008 or 2009. [REDACTED]

[REDACTED]

(U//~~FOUO~~) The third incident occurred in approximately 2010 or 2011. [REDACTED]

[REDACTED]

(U//~~FOUO~~) In March 2013, [REDACTED]

[REDACTED]

(U//~~FOUO~~) [REDACTED]

[REDACTED]

(U//~~FOUO~~) [REDACTED]

[REDACTED]

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SUBJECT: [redacted]

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[redacted]

[redacted]

[redacted] (b) (6)

(U//FOUO)

[redacted]

(U//FOUO)

[redacted] Since moving to Maryland [redacted]

(U//FOUO)

[redacted]

(U//FOUO)

(AGENT'S NOTE: Prior to taking a lunch break, [redacted] but after the lunch break when the Reporting Agent made HIM go over specific incidents and broke it down by year [redacted]

(U//FOUO)

(AGENT'S NOTE: The proceeding sections were not previously discussed during any of [redacted] polygraph examinations.)

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SUBJECT: [REDACTED]

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(U//FOUO)

[REDACTED]

they are work-related.

(U//FOUO) (AGENT'S NOTE: The Reporting Agent [REDACTED])

[REDACTED]

EXCESSIVE USE OF UNCLASSIFIED NETWORK:

(U//FOUO) Since [REDACTED] first unsuccessful polygraph in November 2013, HE has been distracted at work and is having a hard time focusing on tasks. HE has "dreaded" coming to work in anticipation of "it all falling apart." As a result of HIS worry over possibly losing HIS job, HE has been spending much of HIS time browsing the unclassified internet. [REDACTED] estimates that he spends three hours per day reading non-work related news and sports articles at work. HE has never been counseled on the issue nor has it caused any issues with HIS employer because they are unaware of the issue. [REDACTED] always gets HIS work done, but [REDACTED] has been having issues with tasking, and thus HE has not had as much work to do recently. HE feels guilty about being at work and not being "one hundred percent focused." [REDACTED] future intent is to focus on work and be more mindful of completing HIS assigned tasks.

PORNOGRAPHY:

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(U//FOUO) [REDACTED] has searched for the log-in information of other members of pornography websites, in order to bypass paying to watch pornography. HE searches "URL of site log-in" to get the user name and password that is associated with the monthly payment of a website member. The first time HE did this was approximately four or five years ago and the last time HE did this was two weeks prior to the interview, for a total of approximately 30 times. HE feels guilty for doing this because HE is "using something someone paid for without them knowing," but does not feel that it is "necessarily" stealing.

[REDACTED] has viewed [REDACTED] pornography, [REDACTED]

[REDACTED] None of the porn stars appeared underage, in fact, they all appear to be older than [REDACTED] feels guilty for this because it "seemed wrong" and HIS wife

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SUBJECT: [REDACTED]

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is unaware that HE views pornography. HE has never searched for log-in information or watched pornography from NSA spaces or on NSA computers.

SECURITY VIOLATION:

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(U//FOUO) Between 2007 and 2011, when [REDACTED] was employed as a contractor for [REDACTED] HE accidentally brought a server password home with HIM. [REDACTED] placed the password on a post-it note in HIS wallet while HE was at work and, once home, realized HE forgot to take it out. [REDACTED] is not sure whether the server was classified, but the post-it note did not indicate what system or server the password accessed. [REDACTED] threw the post-it note away the next day at work and it could not have been seen by anyone. HE did not report this incident for fear of Security "digging further" into HIS background; HE did not want to draw attention to HIMSELF. In the future, HE will report all security violations.

(U//FOUO) (AGENT'S NOTE: The Reporting Agent counseled [REDACTED] on HIS [REDACTED] and fraud, waste, and abuse.)

(b) (6)

REVIEW OF POLYGRAPH QUESTIONS:

(U//FOUO) The Reporting Agent reviewed all of the polygraph questions with [REDACTED] to ensure HE understood the questions. To assist HIM in HIS comprehension of the questions, the Reporting Agent reviewed [REDACTED]. [REDACTED] acknowledged that HE understood the definitions and questions, and was 100% confident in answering "no" to all of them. [REDACTED] also advised that when asked each question, HE denied knowledge of anyone who had committed a CI or other security violation, or of involvement or knowledge of serious crime.

(U//FOUO) The Reporting Agent [REDACTED]

[REDACTED] The Reporting Agent [REDACTED] stated that HE felt more comfortable than in previous polygraph examinations.

CONCLUSION:

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(U//FOUO) All issues reported during [REDACTED] polygraphs were discussed. In addition, the Reporting Agent discussed the following topics in detail with [REDACTED]. [REDACTED] During the discussion, [REDACTED] did not express any illegal involvement or concerns in these matters.

(U//FOUO) The Reporting Agent explained to [REDACTED]

The Reporting Agent also informed [REDACTED]

(b) (2)
(b) (3) - P.L. 86-36
(b) (6)

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SUBJECT:

[Redacted]

(b) (3) - P.L. 86-36
(b) (6)

[Redacted]

[Redacted] The Reporting Agent informed [Redacted] that when HE is administered HIS next polygraph examination, HE needs to be honest, that nervousness is normal, and to let HIS body behave normally. [Redacted] advised that HE understood the information and agreed to follow the polygraph examiner's instructions.

(b) (3) - P.L. 86-36

(U//~~FOUO~~) (AGENT'S NOTE: [Redacted] thought HE was coming to [Redacted] to get debriefed and

[Redacted]

[Redacted] asked repeatedly, "How will I explain why I lost my job?"

(b) (6)

SUMMARY VERIFICATION:

(U//~~FOUO~~) The summary tape and/or Agent's notes were reviewed by [Redacted] Q212, on [Redacted] information regarding [Redacted] [Redacted] were accurately summarized and support the information contained within the ROI.

DETAILS:

(U//~~FOUO~~) A [Redacted] check was conducted with the results as follows - No Further Information (NFI), Not Derogatory (ND), No Record (NR), or Record (R):

(U//~~FOUO~~)

Last Name/Entity	First Name	Middle Name	Relationship to Subject	Results
[Redacted]	[Redacted]	[Redacted]	SUBJECT	ND

(U//~~FOUO~~)

INTERNET/SOCIAL MEDIA:

(U//~~FOUO~~) No information was developed.

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SUBJECT: [Redacted]

(b) (3) - P.L. 86-36
(b) (6)

[Redacted]

LOCAL AGENCY CHECKS:

(U//~~FOUO~~) On the indicated dates, files of the following agencies were reviewed with the results as indicated below:

[Redacted]

23 September 2014
No record

(b) (3) - P.L. 86-36

[Redacted]

23 September 2014
No record

[Redacted]

23 September 2014
No derogatory information

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ENCLOSURE 1

Security Interview Consent Form, dated 11 September 2014

~~UNCLASSIFIED//FOR OFFICIAL USE ONLY~~



NATIONAL SECURITY AGENCY
FORT GEORGE G. MEADE, MARYLAND 20755-6000

SECURITY INTERVIEW CONSENT

PRINTED NAME

(b) (3) - P.L. 86-36
(b) (6)

NATIONAL SECURITY AGENCY/CENTRAL SECURITY SERVICE (NSA/CSS) STATUS

- EMPLOYEE
- CANDIDATE FOR ACCESS TO NSA/CSS INFORMATION
- ASSIGNED OR DETAILED

I consent to an interview by a representative of NSA/CSS Security Services.

1. I have been informed that:

- a. The interview is being conducted for security purposes. My participation is voluntary, and I can terminate the interview at any time.
- b. The interview room may contain viewing and recording devices which may be used to observe, record, or listen to the interview.
- c. In accordance with the Fifth Amendment to the U.S. Constitution (or Article 31 of the Uniform Code of Military Justice, if applicable), I may refrain from saying anything that may implicate me in a criminal offense.
- d. Refusal to cooperate on grounds other than my right not to incriminate myself could be the basis for denial, revocation or suspension of my access to NSA/CSS classified material or facilities and, if I am a civilian NSA/CSS employee, may result in disciplinary action under the NSA/CSS Personnel Management Manual.

2. With regard to the Privacy Act of 1974, I understand that:

- a. The principal purpose for which the information I provide in this interview will be used is to ensure compliance with statutory and regulatory requirements for employment or assignment involving access to sensitive cryptologic information. These requirements are imposed by Public Laws 88-290 and 86-36, Executive Orders 10450 and 12333, and 12968, DCID 1/14, and DoD Directive 5100.23, or their successors.
- b. My disclosure of information is voluntary. Except for the uses described in this form, the information will be considered confidential and protected as provided in the Privacy Act of 1974. The information is to be used in employment, clearance and access determinations, in investigations and in assignment, reassignment or other personnel actions where security considerations are part of the determination. Such uses may entail furnishing the information to appropriate Agency officers and employees in the performance of their duties or responsibilities. Additionally, the information may be furnished to properly authorized investigators, evaluators and adjudicators for the conduct of security determination or to individuals with responsibilities for inspections or litigation. The information provided during this interview may be furnished to law enforcement authorities if the information relates to possible or actual violations of criminal law.
- c. If I do not provide the requested information, the result may be processing delays or the inability of the Agency to reach a final determination with respect to employment, clearance, continued assignment, access and other related actions.
- d. The authority for the collection of information during this interview is Record System GNSA10, PL 86-36 and PL 88-290.

IN WITNESS THEREOF, I PLACE MY SIGNATURE BELOW

SIGNATURE

DATE (Day, Month, Year)

9/11/2014

THE ABOVE WAS READ AND SIGNED IN MY PRESENCE

WIT

DATE (Day, Month, Year)

11 Sep 14

FOR NSA

FOR OFFICIAL USE ONLY

(b) (3) - P.L. 86-36
(b) (6)

NATIONAL SECURITY AGENCY / CENTRAL SECURITY SERVICE
ASSOCIATE DIRECTORATE FOR SECURITY AND COUNTERINTELLIGENCE
POLYGRAPH DIVISION

REPORT OF POLYGRAPH EXAMINATION

SUBJECT: [REDACTED]

FILE #: [REDACTED]

SSN: [REDACTED] (b) (6)

DATE OF PG: 18 November 2014

DOB: [REDACTED]

POB: [REDACTED]

AFFILIATION: [REDACTED]

CHARACTER: [REDACTED]

JOB TITLE: Systems Engineer

LOCATION: [REDACTED]

PAY GRADE/RANK: N/A

ROOM #: [REDACTED]

PHONE #: [REDACTED]

(b) (3) - P.L. 86-36

TIME OF INTERVIEW: 0800

EXAMINER: [REDACTED]

APPROVAL: [REDACTED]

FOR: [REDACTED]
Chief, Polygraph Division

PREDICATION

SUBJECT is a contractor, employed by [REDACTED] and is being polygraphed as part of the Aperiodic Polygraph Program.

SCOPE

	NSR	INC	SPR	INCOMP
[REDACTED]	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
QC Initials	[REDACTED]			

SUBJECT'S polygraph examination contained the following relevant questions:

(b) (3) - P.L. 86-36
(b) (6)

NAME: [REDACTED]

DATE: 18 November 2014

FILE#: [REDACTED]

(b) (3) - P.L. 86-36



RESULTS

(b) (2)
(b) (3) - P.L. 86-36

An overall analysis revealed multiple inconsistent physiological reactions to the relevant questions.

NAME: [Redacted]

(b) (3) - P.L. 86-36
(b) (6)

DATE: 18 November 2014

FILE#: [Redacted]

(b) (3) - P.L. 86-36

REPORT

(U//~~FOUO~~) PREVIOUS POLYGRAPH

Date(s)	-21 April 2014 -13 March 2014 -14 November 2013
Location	[Redacted]
Agency	NSA
Reason for Polygraph	Aperiodic polygraph
Scope	[Redacted]
Results	INC
PREVIOUSLY REPORTED	Yes, reference previous Reports of Polygraph Examination located in SUBJECT's security file

(U//~~FOUO~~) PREVIOUS POLYGRAPH

Additional Information

(U//~~FOUO~~) SUBJECT's previous Reports of Polygraph Examination were reviewed for accuracy and deemed to be correct. SUBJECT was queried regarding reasons why HE had difficulty resolving the previous polygraph, and HE stated it was due to HIS previously reported security concerns (reference the previous Report of Polygraph Examination dated 21 April 2014).

(b) (6)

(U//~~FOUO~~) MISCELLANEOUS

(U//~~FOUO~~) SUBJECT's [Redacted] as detailed in the Report of Investigation dated 15 October 2014 were reviewed with SUBJECT in full and deemed to be accurate. SUBJECT provided the following updated information:

(U//~~FOUO~~) SUBJECT [Redacted]

(U//~~FOUO~~) SUBJECT's [Redacted] as detailed in the Report of Investigation dated 15 October 2014 was reviewed with SUBJECT in full and deemed to be accurate. SUBJECT provided the following updated information:

(U//~~FOUO~~) SUBJECT has [Redacted]

(b) (3) - P.L. 86-36
(b) (6)

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NAME: [REDACTED]

DATE: 18 November 2014

FILE#: [REDACTED]

(b) (3) - P.L. 86-36

MISCELLANEOUS

SUBJECT [REDACTED]

(U//FOUO) SUBJECT's Excessive Use of Unclassified Network as detailed in the Report of Investigation dated 15 October 2014 was reviewed with SUBJECT in full and deemed to be accurate. SUBJECT provided the following updated information:

(b) (6)

(U//FOUO) Since October 2014, SUBJECT feels that HE has reduced HIS time from spending three hours a day reading non-work related news and sports articles to approximately one hour a day or two hours if it happens to be a bad day. SUBJECT is unable to complete HIS normal job responsibilities because HIS PRIVAC access has expired. HE is reliant on the schedule of others so that HE can work "side saddle" with them on a given task. This means that SUBJECT has experienced more downtime than HE otherwise would since HE is often waiting to work with someone. SUBJECT believes this is why HE spends a great deal of time on the unclassified network.

(U//FOUO) SUBJECT's security concern regarding HIS resume as detailed in the Report of Polygraph Examination dated 21 April 2014 was reviewed with SUBJECT in full and deemed to be accurate. SUBJECT provided the following additional information:

(U//FOUO) SUBJECT did not submit HIS resume from 2011 to any career websites. Rather, HE believes that HE only e-mailed the resume to [REDACTED] an employee of [REDACTED] [REDACTED] serves as the Business Unit Director and maintains a security clearance with NSA. SUBJECT no longer maintains possession of the computer on which HE wrote the resume. When SUBJECT no longer used the computer on which HIS resume was written, HE destroyed the hard drive. HE destroyed the hard drive by physically damaging it.

(U//FOUO) Since obtaining the position with [REDACTED] SUBJECT has not applied for any other positions of employment. However, HE has on occasion created an internal resume for the company's proposals for contracts. SUBJECT's internal resume is within a [REDACTED] secure network which HE can only access from their offices. SUBJECT is uncertain as to whether or not the system is classified. SUBJECT has not submitted HIS internal resume through pre-publication review.

(U//FOUO) Approximately two weeks prior to this interview, SUBJECT received approval to use HIS administrative privileges, even though they have been suspended. SUBJECT's supervisor, [REDACTED] an NSA employee, and HIS coworker, [REDACTED] employee, inadvertently locked themselves out of an unclassified developmental network. No one else was available, so [REDACTED] authorized SUBJECT to use HIS administrative privileges to unlock their accounts. SUBJECT was monitored while HE unlocked the accounts, and HE has not used HIS administrative privileges on any other occasion since HIS access was suspended.

(U//FOUO) Approximately two and a half years prior to this interview, SUBJECT was involved in a prank on another individual. SUBJECT uploaded a program known as [REDACTED] on to an unclassified developmental system. [REDACTED] The computer was operated by [REDACTED] an employee of [REDACTED]. The software SUBJECT uploaded to the system was authorized to be on the system, as it was part of the operating system's accessibility package. SUBJECT was authorized to upload this software because it was part of the initial install disc. SUBJECT is uncertain as to whether or not HE may have used HIS system administrative privileges to operate the program, but HE may have also programed it to operate automatically. [REDACTED] became aware of [REDACTED]

(b) (6)

UNCLASSIFIED//FOR OFFICIAL USE ONLY

(b) (3) - P.L. 86-36

NAME:

[Redacted]

(b) (3) - P.L. 86-36

DATE: 18 November 2014

FILE#:

[Redacted]

(b) (6)

(U//FOUO) Approximately two years ago, SUBJECT [Redacted] to an unclassified developmental system. SUBJECT [Redacted] using the proper security protocols. HE [Redacted] to the system of a co-worker as prank. HE set their computer up so [Redacted]. The idea was that the co-worker would be amused because [Redacted]. SUBJECT realized after the fact that HE probably should not have [Redacted]. HE does not believe HIS actions would have been considered a security violation at the time, but HE does believe HE would have been told not to do it again. SUBJECT's supervisor was not aware of HIS actions. SUBJECT believes HE used HIS system administrative privileges to transfer the data.

(b) (6)

POLICIES				
POLICY	POLICY TITLE	ADVISE	COMPLY	
			YES	NO
NSA/CSS POLICY 5-18	Security Requirements for Foreign Travel	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
NSA/CSS POLICY ISSUANCE 120-18	Associations with Foreign Nationals	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
NSA/CSS POLICY 4-1	Drug Deterrence	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Enclosures

G1448 Consent Form (NSA)

Distribution List

Q23

NSA POLYGRAPH INTERVIEW CONSENT FORM

Doc ID: 6730981

1 I voluntarily consent to be interviewed with the aid of a polygraph by an official of the National Security Agency/Central Security Service (NSA/CSS or Agency). I have received advance notice of this interview and understand the purpose of this interview is to obtain information to assist the Agency in reaching an informed decision regarding my eligibility for access to Sensitive Compartmented Information or other categories of classified information (security clearance eligibility). I understand information derived from or pertaining to this interview may also be used to assist in determining my eligibility for initial or continued employment, assignment, or detail for duty with the Agency.

2 I have been informed that:

- a In accordance with the Fifth Amendment to the U.S. Constitution (Article 31 of the Uniformed Code of Military Justice) I have the right to refrain from saying anything that may tend to incriminate me. I understand that I may consult with counsel during the interview, refuse to answer any question, and may terminate the interview at any time.
- b The polygraph interview is voluntary and will only be conducted with my written consent, as evidenced by my signature on this form.
- c The interview room contains recording and monitoring equipment (audio, visual, or both, to include a possible two-way mirror) that may be used to record, watch, or listen to the interview.
- d No disciplinary action shall be taken against me if I refuse to participate in or complete this polygraph interview and any such refusal will not be documented in personnel records. However, I understand that such refusal will be noted in the Agency's security records and that an investigation may be conducted following my refusal. Moreover, I understand my refusal to be interviewed with the aid of a polygraph or to complete this polygraph interview may prevent the Agency from making a favorable decision regarding my security clearance eligibility or my eligibility for employment, assignment, or detail for duty with the Agency.

3 I acknowledge that I have been informed of the characteristics and nature of the polygraph instrument and interview, including an explanation of the physical operation of the instrument, the procedures to be followed during the interview, and the general area of all questions to be asked during the interview.

4 I acknowledge that I have been informed that information I provide during this polygraph interview as well as information pertaining to this interview will be retained by the Agency and will be protected against unauthorized disclosure in accordance with the federal Privacy Act, 5 U.S.C. § 552a, and other applicable law. I also understand that the Privacy Act authorizes me to submit a request to review records that the Agency may maintain about me. If I choose to submit such a request, I understand that it will be processed in accordance with the Agency's Privacy Act regulations.

5 I understand that information derived from or pertaining to this polygraph interview is principally used by the Agency to help determine my security clearance eligibility. I also understand that information from or pertaining to this interview may be disclosed to Agency personnel on a strict need-to-know basis. I have been informed that, under certain circumstances, information obtained during this polygraph interview may be disclosed outside of the Agency. For instance:

- a Information from or pertaining to this polygraph interview may be furnished to properly authorized officials of the Department of Defense or other federal agencies or other appropriate entities charged with investigations, evaluations, and adjudications related to security determinations or with responsibilities for inspections or litigation.
- b Information regarding actual or possible violations of law – past or future – may be disseminated to appropriate federal, state, and/or local authorities with law enforcement responsibilities.

6 As noted previously, I understand that participation in this polygraph interview is voluntary and that I may choose to end the interview at any time or choose not to participate at all.

I UNDERSTAND AND AGREE TO THE ABOVE.

(b) (6)

PRINTED NAME

SOCIAL SECURITY NUMBER

WITNESS

SIGNATURE

DATE

DATE

(b) (3) - P.L. 86-36

PRIVACY ACT STATEMENT Authority for collecting information is contained in 50 U.S.C. 402 note, 50 U.S.C. 403-3(c)(6), DoD Directive 5210.48, Executive Orders 10450, 10865, 12333, 12958, and 12968, and DCID 6/4. The authority for collecting Social Security Number (SSN) is Executive Order 9397. NSA's Blanket Routine Uses found at 58 Fed. Reg. 10,531 (1993) and the specific uses found in GNSA10 apply to this information. Disclosure of requested information is voluntary but refusal to provide requested information, other than SSN, may prevent the Agency from making a favorable decision regarding security clearance eligibility or eligibility for employment, assignment, or detail for duty with the Agency.

FORM G1448 REV SEP 02 (SUPERSEDES G1448 REV FEB 90 AND G1448A REV JAN 93 WHICH ARE OBSOLETE)

UNCLASSIFIED

(b) (3) - P.L. 86-36
(b) (6)

NATIONAL SECURITY AGENCY / CENTRAL SECURITY SERVICE
ASSOCIATE DIRECTORATE FOR SECURITY AND COUNTERINTELLIGENCE
POLYGRAPH DIVISION

REPORT OF POLYGRAPH EXAMINATION

SUBJECT: [Redacted] **FILE #:** [Redacted]

SSN: [Redacted] **DATE OF PG:** 14 January 2015
(b) (3)-P.L. 86-36
(b) (6)

DOB: [Redacted] **POB:** [Redacted]

AFFILIATION: [Redacted] **CHARACTER:** [Redacted]

JOB TITLE: Systems Engineer **LOCATION:** [Redacted]
(b) (6)

PAY GRADE/RANK: N/A **ROOM #:** [Redacted]

PHONE #: [Redacted] **TIME OF INTERVIEW:** 0800

EXAMINER: [Redacted] **APPROVAL:** [Redacted]

(b) (3)-P.L. 86-36 **FGR:** [Redacted]
Chief, Polygraph Division

PREDICATION

SUBJECT is a contractor, employed by [Redacted] and is being polygraphed as part of the Aperiodic Polygraph Program. HE last successfully completed a polygraph on 10 March 2005.

SCOPE

	NSR	INC	SPR	INCOMP
[Redacted]	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
QC Initials	[Redacted]			

SUBJECT'S polygraph examination contained the following relevant questions:

(b) (3) - P.L. 86-36
(b) (6)

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(b) (2)
(b) (3) - P.L. 86-36

NAME: [REDACTED]

(b) (3) - P.L. 86-36

DATE: 14 January 2015

FILE#: [REDACTED]



RESULTS

An overall analysis revealed no significant physiological reactions to the relevant test questions.

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(b) (3) -P.L. 86-36
(b) (6)

NAME: [Redacted]

DATE: 14 January 2015

FILE#: [Redacted]

(b) (3) -P.L. 86-36

REPORT

(U//~~FOUO~~) PREVIOUS POLYGRAPH

Date(s)	1. 14 November 2013 2. 3 March 2014 3. 21 April 2014 4. 18 November 2014
Location	1. [Redacted] 4. [Redacted]
Agency	NSA
Reason for Polygraph	Continued access to NSA information and/or spaces.
Scope	[Redacted]
Results	INC
PREVIOUSLY REPORTED	Yes, refer to Report of Polygraph Examinations in security file.

(U//~~FOUO~~) PREVIOUS POLYGRAPH

Additional Information

(U//~~FOUO~~) S's prior Report of Polygraph Examinations were reviewed and S verified the information (with corrections indicated in the Miscellaneous section of this report.) S could provide no plausible explanation for HIS inability to successfully complete HIS prior polygraphs.

(U//~~FOUO~~) S clarified previously reported information, reported using one hour of work per day for personal reasons, expressed concern over [Redacted] and reported concern over using a coworker's System Administrator privileges to assist the coworker in installing software.

[Redacted]
(b) (6)

(U//~~FOUO~~) CRIME (GENERIC)

Offense	See below
Date(s)	
Frequency	
Location	
Accomplices	
Victim	

(b) (3) - P.L. 86-36
(b) (6)

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NAME: [REDACTED]

DATE: 14 January 2015

FILE#: [REDACTED]

(b) (3) - P.L. 86-36

Detected?	
Outcome	
Court	
PREVIOUSLY REPORTED	Yes, refer to Report of Polygraph Examinations in security file.
(U//FOUO) CRIME (GENERIC)	

Additional Information
(U//~~FOUO~~) During HIS pre-test interview, S corrected information found in the Report of Polygraph dated 21 April 2014. S clarified with the following information:

(U//~~FOUO~~) S explained [REDACTED]

[REDACTED] and offered no other pertinent information.

(b) (6)

(U//~~FOUO~~) MISCELLANEOUS

(U//~~FOUO~~) S reported HE [REDACTED] since HIS last polygraph in November 2014. The

(U//~~FOUO~~) S reported after HIS polygraph in November 2014, [REDACTED]

[REDACTED]

(U//~~FOUO~~) S reported HE currently engages in non-work related activity while at work approximately one hour per day. This changes from day-to-day, but on average, HE spends one hour per day on the unclassified network for personal reasons. This may include reading news articles on Fox News, WBAL,

(b) (3) - P.L. 86-36
(b) (6)

NAME: [Redacted]

DATE: 14 January 2015

FILE#: [Redacted] (b) (3) - P.L. 86-36

MISCELLANEOUS

or CNN; reading sports articles; or checking HIS fantasy football league on Mondays. S has also viewed instructional videos on occasion on youtube.com. S denied circumventing security or viewing sites which are unauthorized. S explained since HIS accesses were suspended, HIS work is limited and HE is often waiting around to help coworkers with administrative duties. When asked if HE had any other options as to how HE could spend HIS time at work, S indicated HE was sure there is busy work to be done. S has not been reprimanded for any abuse of HIS time.

(U//FOUO) S corrected information in the Report of Polygraph dated 18 November 2014 regarding previously reported concerns about HIS resume. S advised HE has an internal resume on both the classified and unclassified networks at [Redacted]. These networks are only internal to [Redacted] and S does not "think" HE submitted the resume to per-publication review at the NSA. The resumes contain only general, unclassified information.

(U//FOUO) S corrected information in the Report of Polygraph dated 18 November 2014 regarding uploading [Redacted] software on a coworker's computer two-and-a-half years ago. S advised HE knows that HE did use HIS System Administrator privileges to upload the software, as this upload would have required root access.

(b) (6)

(U//FOUO) S reported one concern regarding using System Administrator privileges since HIS last polygraph. Yesterday, S was sitting with coworker [Redacted] and [Redacted] was unsure how to install Java on their system. [Redacted] logged on using HER own System Administrator access and S installed the program at her workstation using HER System Administrator access. [Redacted] was with S the entire time, and HE was showing her how to install the software on her account. S did not obtain approval from supervision to get on the system using [Redacted] account, as HE "didn't think about it" as [Redacted] was sitting with HIM to ensure HE was not doing anything "malicious." S denied any additional use of System Administrator privileges since HIS last exam.

(U//FOUO) S was questioned regarding [Redacted]

[Redacted] Additional information is documented above.

(b) (2)
(b) (3) - P.L. 86-36

POLICIES

POLICY	POLICY TITLE	ADVISE	COMPLY	
			YES	NO
NSA/CSS POLICY 5-18	Security Requirements for Foreign Travel	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
NSA/CSS POLICY ISSUANCE 120-18	Associations with Foreign Nationals	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
NSA/CSS POLICY 4-1	Drug Deterrence	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Enclosures

G1448 Consent Form (NSA)

1 I voluntarily consent to be interviewed with the aid of a polygraph by an official of the National Security Agency/Central Security Service (NSA/CSS or Agency). I have received advance notice of this interview and understand the purpose of this interview is to obtain information to assist the Agency in reaching an informed decision regarding my eligibility for access to Sensitive Compartmented Information or other categories of classified information (security clearance eligibility). I understand information derived from or pertaining to this interview may also be used to assist in determining my eligibility for initial or continued employment, assignment, or detail for duty with the Agency.

- 2 I have been informed that:
 - a In accordance with the Fifth Amendment to the U.S. Constitution (Article 31 of the Uniformed Code of Military Justice) I have the right to refrain from saying anything that may tend to incriminate me. I understand that I may consult with counsel during the interview, refuse to answer any question, and may terminate the interview at any time.
 - b The polygraph interview is voluntary and will only be conducted with my written consent, as evidenced by my signature on this form.
 - c The interview room contains recording and monitoring equipment (audio, visual, or both, to include a possible two-way mirror) that may be used to record, watch, or listen to the interview.
 - d No disciplinary action shall be taken against me if I refuse to participate in or complete this polygraph interview and any such refusal will not be documented in personnel records. However, I understand that such refusal will be noted in the Agency's security records and that an investigation may be conducted following my refusal. Moreover, I understand my refusal to be interviewed with the aid of a polygraph or to complete this polygraph interview may prevent the Agency from making a favorable decision regarding my security clearance eligibility or my eligibility for employment, assignment, or detail for duty with the Agency.

3 I acknowledge that I have been informed of the characteristics and nature of the polygraph instrument and interview, including an explanation of the physical operation of the instrument, the procedures to be followed during the interview, and the general area of all questions to be asked during the interview.

4 I acknowledge that I have been informed that information I provide during this polygraph interview as well as information pertaining to this interview will be retained by the Agency and will be protected against unauthorized disclosure in accordance with the federal Privacy Act, 5 U.S.C. § 552a, and other applicable law. I also understand that the Privacy Act authorizes me to submit a request to review records that the Agency may maintain about me. If I choose to submit such a request, I understand that it will be processed in accordance with the Agency's Privacy Act regulations.

5 I understand that information derived from or pertaining to this polygraph interview is principally used by the Agency to help determine my security clearance eligibility. I also understand that information from or pertaining to this interview may be disclosed to Agency personnel on a strict need-to-know basis. I have been informed that, under certain circumstances, information obtained during this polygraph interview may be disclosed outside of the Agency. For instance:

- a Information from or pertaining to this polygraph interview may be furnished to properly authorized officials of the Department of Defense or other federal agencies or other appropriate entities charged with investigations, evaluations, and adjudications related to security determinations or with responsibilities for inspections or litigation.
- b Information regarding actual or possible violations of law – past or future – may be disseminated to appropriate federal, state, and/or local authorities with law enforcement responsibilities.

6 As noted previously, I understand that participation in this polygraph interview is voluntary and that I may choose to end the interview at any time or choose not to participate at all.

(b) (3) - P.L. 86-36
(b) (6)

I UNDERSTAND AND AGREE TO THE ABOVE

(b) (3) - P.L. 86-36

PRINTED NAME [Redacted]	SOCIAL SECURITY NUMBER [Redacted]	WITNES [Redacted]
SIGNATURE [Redacted]	DATE 1/14/2015	DATE 1/14/2015

(b) (6)

PRIVACY ACT STATEMENT: Authority for collecting information is contained in 50 U.S.C. 402 note, 50 U.S.C. 403-3(c)(6), DoD Directive 5210.48, Executive Orders 10450, 10865, 12333, 12958, and 12968, and DCID 6/4. The authority for collecting Social Security Number (SSN) is Executive Order 9397. NSA's Blanket Routine Uses found at 58 Fed. Reg. 10,531 (1993) and the specific uses found in GNSA10 apply to this information. Disclosure of requested information is voluntary but refusal to provide requested information, other than SSN, may prevent the Agency from making a favorable decision regarding security clearance eligibility or eligibility for employment, assignment, or detail for duty with the Agency.